



I hereby certify that this correspondence is being deposited with the United States Postal Service on the date set forth below as First Class Mail in an envelope addressed to:  
Commissioner for Patents, Washington, D. C. 20231.

Date of Signature and Deposit: December 7, 2001,

*Adam J. Forman*

Attorney of Record

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Richard D. Harris  
Serial No.: 09/967,157  
Filed: September 28, 2001  
For: Method for Fabricating a Microelectromechanical System (MEMS)  
Device Using a Pre-Patterned Bridge  
Group Art Unit: 2812  
Docket No.: 01AB091

COPY OF PAPERS  
ORIGINALLY FILED

**SUBMISSION OF DOCUMENTS  
IN RESPONSE TO NOTICE TO FILE MISSING PARTS**

BOX MISSING PARTS  
Commissioner for Patents  
Washington, D. C. 20231

Dear Sir:

In response to the Notice to File Missing Parts dated November 6, 2001, please find transmitted herewith the following documents:

- ☒ Signed Declaration;
- ☒ Copy of the Notice to File Missing parts; and
- ☒ Fee Transmittal Form;

Please deduct \$130.00 from Deposit Account 17-0055 for the late oath or declaration surcharge pursuant to 37 C.F.R. 1.16(e). The Commissioner is additionally hereby authorized to deduct any additional fees arising as a result of this or any other communication from Deposit Account 17-0055.

Respectfully submitted,

RICHARD D. HARRIS et al.

By:

*Adam J. Forman*

Adam J. Forman, Esq.  
Attorney for Applicant  
Quarles & Brady, LLP  
411 E. Wisconsin Avenue, 20<sup>th</sup> Floor  
Milwaukee WI 53202-4497  
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Reg. No. 46,707



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PTO/SB/17 (11-90)

Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 130.00)

## Complete if Known

Application Number	09/967,157
Filing Date	September 28, 2001
First Named Inventor	Richard D. Harris
Examiner Name	
Group Art Unit	2812
Attorney Docket No.	01AB091

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit  
Account  
Number

17-0055

Deposit  
Account  
Name

Quarles & Brady LLP

- ☒ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17

- ☐ Applicant claims small entity status.  
See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money  
Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
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101	710	201	355	Utility filing fee	
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106	320	206	160	Design filing fee	
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107	490	207	245	Plant filing fee	
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108	710	208	355	Reissue filing fee	
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114	150	214	75	Provisional filing fee	
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SUBTOTAL (1) (\$ 0)

### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** =	X	=
Multiple Dependent	-3** =	X	=

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
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103	18	203	9	Claims in excess of 20
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102	80	202	40	Independent claims in excess of 3
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104	270	204	135	Multiple dependent claim, if not paid
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109	80	209	40	** Reissue independent claims over original patent
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110	18	210	9	** Reissue claims in excess of 20 and over original patent
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SUBTOTAL (2) (\$ 0)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	130.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 130.00)

## SUBMITTED BY

Name (Print/Type)

Adam J. Forman

Registration No.  
(Attorney/Agent)

46,707

## Complete (if applicable)

Telephone

414.277.5405

Signature

*Adam J. Forman*

Date

Dec. 7, 2001

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/967,157	09/28/2001	Richard D. Harris	01AB091

Susan M. Donahue  
Rockwell Automation  
1201 South Second Street, 704P  
Milwaukee, WI 53204

COPY OF PAPERS  
ORIGINALLY FILED

CONFIRMATION NO. 4953

## FORMALITIES LETTER



\*OC000000007030634\*

Date Mailed: 11/06/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

01/22/2002 10:00:00 AM

01 20:103

*A copy of this notice MUST be returned with the reply.*

CC.

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09967157-011602